

(Use several sheets if necessary)

FIS920010069US (4401)

**unassigned**

**Casimer M. DeCusatis, et al.**

**herewith**

**unassigned**[illegible][illegible]

TN	"INTRODUCTION TO DWDM TECHNOLOGY Data in a Rainbow", by Stamatios V. Kartalopoulos, Lucent Technologies, IEEE Communications Society, Sponsor, SPIE OPTICAL ENGINEERING PRESS, pp. 65-68.
TN	"INTRODUCTION TO DWDM TECHNOLOGY Data in a Rainbow", by Stamatios V. Kartalopoulos, Chapter 9, pp. 131-136.
TN	"Distributed feedback semiconductor lasers", by John Carroll, et al., IEE Circuits, Devices and Systems Series 10, SPIE Press Monograph Volume PM52, 1998, pp. 7-15.

**DATE CONSIDERED**

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

FIS920010069US (14401)

Application Number

09/85, 25, 26

Applicant(s)

Casimer M. DeCusatis, et al.

Filing Date

June 19, 2001

Group Art Unit

AUG 13 2001

PATENT & TRADEMARK OFFICE

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
TN		3,777,154	12/04/73	Richard W. Lindsey			
TN		3,943,021	03/09/76	Richard W. Lindsey			
TN		4,725,120	02/16/88	William J. Parzygnat			
TN		4,744,617	05/17/88	Jarsolav M. Hvezda, et al.			
TN		4,863,332	09/05/89	Sture H. Wiholm, et al.			
TN		4,870,637	09/26/89	David R. Follett, et al.			
TN		4,872,739	10/10/89	David A. Kahn, et al.			
TN		4,890,894	01/02/90	Peter T.H. Kwa			
TN		4,892,376	01/09/90	William A. Whitehouse			
TN		4,895,424	01/23/90	Howard G. Hughes			
TN		4,943,136	07/24/90	Alexander A. Popoff			

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	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
TN		0 486 208 A2	05/20/92	EPO				
TN		0 571 037 A1	11/24/93	EPO				
TN		0 911 658 A1	04/28/99	EPO				
TN		WO 94/18587	08/18/94	PCT				
TW		WO 94/18589	08/18/94	PCT				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


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Thom Nguyen

DATE CONSIDERED

4-8-03

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# INFORMATION DISCLOSURE CITATION

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Docket Number (Optional)

FIS920010069US1 (14401)

Application Number

029 865,256

Applicant(s)

Casimer M. DeCusatis, et al.

Filing Date

June 19, 2001

Group Art Unit

AUG 13 2001

PATENT & TRADEMARK OFFICE

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
TN		4,944,568	07/31/90	Philip J. Danbach, et al.			
TN		5,009,477	04/23/91	Rodney C. Alferness, et al.			
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	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
TN		WO 96/10201	04/04/96	PCT				
TN		6-19838	01/28/94	Japan				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

Tram Nguyen

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4-8-03

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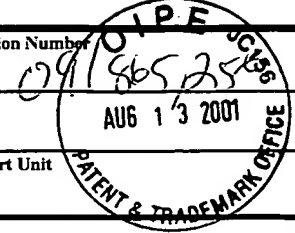
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Docket Number (Optional)

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Application Number



Applicant(s)

Casimer M. deCusatis, et al.

Filing Date

Group Art Unit

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TN		5,228,105	07/13/93	Andrew S. Glista			
TN		5,240,617	08/31/93	Darl-Heinz Hopf			
TN		5,245,680	09/14/93	Gerald F. Sauter			
TN		5,247,593	09/21/93	Tsen-Hwang Lin, et al.			
TN		5,259,051	11/02/93	John J. Burack, et al.			
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TN		5,283,851	02/01/94	Claude Vergnolle			
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TN		5,371,820	12/06/94	Anthony D. Welbourn, et al.			
TN		5,388,174	02/07/95	Richard A. Roll, et al.			

## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


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*John N. [Signature]*

DATE CONSIDERED

4-8-03

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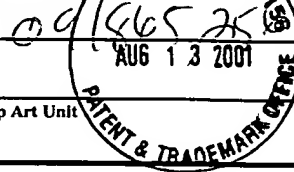
# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

FIS920010069US1 (14401)

Application Number



Applicant(s)

Casimer M. DeCusatis, et al.

Filing Date

June 19, 2001

Group Art Unit

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*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
TH		5,402,515	03/28/95	Kennth J. Vidacovich, et al.			

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## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


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THAN NGUYEN

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LIST OF PATENTS AND PUBLICATIONS  
FOR APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT

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ATTY. DOCKET NO.

FIS920010069US1

SERIAL NO.

09/865,256

APPLICANT:

CASIMER M. DECUSATIS, ET AL.

FILING DATE:

05/25/01

GROUP:

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

TN	IEEE Communications, SPIE Optical Engineering Press, Stamatios V. Kartalopoulos, "INTRODUCTION TO DWDM TECHNOLOGY: DATA IN A RAINBOW", pgs. 67 and 68.
TN	The Institution of Electrical Engineers, IEE Circuits, Devices and Systems Series 10, John Carroll, James Whiteaway & Dick Plumb, "DISTRIBUTED FEEDBACK SEMICONDUCTOR LASERS", pgs. 9-15.
TN	Chapter 9, "Other Optical Components", pgs. 131-137.
TN	"AN INTRODUCTION TO POUND-DREVER-HALL LASER FREQUENCY STABILIZATION", Eric D. Black, LIGO Project, California Institute of Technology, LIGO-P990042-00-D, pgs. 1-36.

EXAMINER

*Tham Nguyen*

DATE CONSIDERED

*11-8-02*

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.